



We provide strategic direction, tax, and financial reporting service solutions.

Employee Benefit Audit Plan Checklist

- ⦿ Is your auditor looking at your plan's operations, documents, and amendments?
- ⦿ Is your auditor a member of the AICPA Employee Benefit Plan Audit Quality Center?
- ⦿ Does your auditor understand the difference between the requirements for the financial statements and your Form 5500?
- ⦿ Does your auditor invest in training to keep up to date on the latest issues?
- ⦿ Does your auditor assign an experienced benefit plan auditor to service your account?
- ⦿ Do you understand that the plan fiduciary is responsible for the work performed by the auditors?

Employee Benefit Plan Audits

It is essential that an accurate, timely audit of your company's employee benefit plan comply with professional and [Department of Labor \(DOL\)](#) and [Employee Retirement Income Security Act \(ERISA\)](#) requirements.

There are numerous concerns that should be addressed. These concerns include:

- Benefit Payments
- Contributions from the employee and employer
- Participant data
- Plan investments and investment income
- Non-discrimination testing
- Administrative expenses
- Participant loans

As your business and personal assets continue to evolve, your trusted advisor is here to help.



We treat our clients' businesses as our own.

Our Services Include:



Audits

of defined contribution plans



Assistance

with IRS and DOL Examination and Inquiries



Identifying Plan Failures

and appropriate correction programs



Reporting

and disclosure

A Proactive Approach

FMD professionals continuously monitor tax and economic issues to assist with your business needs. Our proactive mindset also is manifested in the approach we take to assist you with strategic estate planning and wealth management.

